

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jeffrey C. Smith and Jean-Christophe Bandini  
Title: POLICY ENFORCEMENT IN A SECURE DATA FILE DELIVERY  
SYSTEM  
Application No.: 10/790,901 Filed: March 1, 2004  
Examiner: Kari L. Schmidt Group Art Unit: 2439  
Atty. Docket No.: 127-0007-2 Conf. No.: 2607

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December 15, 2009

Mail Stop Appeal Brief - Patents  
COMMISSIONER FOR PATENTS  
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Alexandria, VA 22313-1450

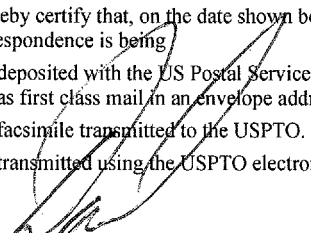
**SUMMARY OF EXAMINER-INITIATED INTERVIEW**

On 10 December 2009, Examiner Schmidt contacted the undersigned to discuss the present matter on appeal. Examiner Schmidt indicated that claims 1-26 were allowable and suggested that, if applicants would authorize an Examiner's Amendment canceling the remaining claims, the case could proceed to grant. Upon review of the appealed from rejections, the arguments on appeal and the unallowed claims (claims 27-33 and 36-38), the undersigned followed up with Examiner Schmidt and indicated that, at the present time, Applicants would prefer to reach closure on the full set of claims. The undersigned suggested that Applicants arguments on appeal were strong relative to all appealed from rejections and that allowance of all pending claims would be appropriate. The undersigned further suggested that absent such action, it would be appropriate for the Office to allow claims 1-26 and thereby simplify issues for the Board in the pending appeal. No agreement was reached.

**CERTIFICATE OF MAILING OR TRANSMISSION**

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David W. O'Brien

15-Dec-09  
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Respectfully submitted,

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